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Sheet	1	of	11

Complete if Known	
Application Number	Unassigned
Filing Date	May 29, 2001
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD

Jc974 U.S. PTO 09/867505 05/29/01

U.S. PATENT DOCUMENTS

Examiner Initials *		U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		3,763,330	Fulwiler	10/2/1973	6/28/1971
		4,266,243	Shutterly	5/5/1981	4/25/1979
		4,393,277	Besen et al.	7/12/1983	3/18/1981
		4,455,651	Baran	6/19/1984	10/20/1980
		4,473,824	Claytor	9/25/1984	7/22/1983
		4,499,568	Gremillet	2/12/1985	12/13/1982
		4,682,368	Takahashi	7/21/1987	3/26/1985
		4,698,776	Shibata	10/6/1987	5/23/1984
		4,725,977	Izumi et al.	2/16/1988	2/28/1986
		4,772,873	Duncan	9/20/1988	8/30/1985

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Examiner Initials *		Foreign Patent Document Office or Country	Number	Date	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		EPO	0 128 093 A1		Texas Instruments Incorporated	12/12/1984	Yes
		EPO	0 302 710 A2		International Business Machines	2/8/1989	Yes
					Corporation		

OTHER DOCUMENTS

Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
		CHANG, YEE-HSIANG, ET AL.; An Open-Systems Approach to Video on Demand; IEEE	
		Communications Magazine; May 1994; pgs. 68-79.	
		DELODDERE, DANIEL, ET AL.; Interactive Video On Demand; IEEE Communications Magazine	
		May 1994; pgs. 82-88.	

Examiner Signature	D. DINH	Date Considered	3/18/04

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Sheet 2 of 11

Complete if Known	
Application Number	Unassigned
Filing Date	May 29, 2001
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD

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		4,788,543	Rubin	11/29/1988	11/5/1986
		4,788,675	Jones et al.	11/29/1988	10/10/1986
		4,851,931	Parker et al.	7/25/1989	2/24/1989
		4,891,838	Faber	1/2/1990	11/4/1985
		4,941,040	Pocock et al.	7/10/1990	2/10/1988
		4,949,187	Cohen	8/14/1990	12/16/1988
		4,989,179	Simko	1/29/1991	10/12/1989
		5,042,070	Linna et al.	8/20/1991	10/1/1990
		5,055,947	Satoh	10/8/1991	6/21/1989
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		FR	2 651 352-A2		URBA 2000 Association declaree	3/1/1991	No
					de droit francais		
		PCT	WO 87/04309		Motorola, Inc.	7/16/1987	

OTHER DOCUMENTS

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		DESMEDT, YVO, ET AL.; Mutli-Receiver/Multi-Sender Network Security: Efficient Authenticated	
		Multicast/Feedback; Infocom'92; IEEE 1992; pgs. 2045-2054.	
		FARN, KWO-JEAN, ET AL.; An Authenticate Processing For The Information System In Industrial	
		Technology Research Institute; Carnahan Conference on Security Technology, 1991; IEEE 1991	

Examiner Signature	D. O'NM	Date Considered	3/18/04

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		5,099,422	Foresman et al.	3/24/1992	3/17/1989
		5,132,992	Yurt et al.	7/21/1992	1/7/1991
		5,159,182	Eisele	10/27/1992	12/12/1989
		5,172,413	Bradley et al.	12/15/1992	12/20/1990
		5,181,107	Rhoades	1/19/1993	9/20/1991
		5,191,410	McCalley et al.	3/2/1993	2/5/1991
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		5,210,611	Yee et al.	5/11/1993	8/12/1991
		5,247,347	Litteral et al.	9/21/1993	9/27/1991
		5,251,909	Reed et al.	10/12/1993	5/28/1991

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		PCT	WO 96/35987		Macrovision Corporation	11/14/1996	

OTHER DOCUMENTS

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		pgs. 59-67.	
		JONES, RICHARD J.; Baseband and Passband Transport Systems for Interactive Video Services;	
		IEEE Communications Magazine; May 1994; pgs. 90-101.	

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Attorney Docket Number	2541P001CD

U.S. PATENT DOCUMENTS

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		5,253,275	Yurt et al.	10/12/1993	4/2/1992
		5,311,423	P. Deborah Clark	5/10/1994	1/7/1991
		5,359,698	Goldberg et al.	10/25/1994	12/20/1991
		5,371,532	Gelman et al.	12/6/1994	5/15/1992
		5,383,112	Clark	1/17/1995	1/7/1991
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		KANG, CHANG GOO; New Digital Multisignature Scheme in Electronic Contract Systems;	
		Proceedings 1995 IEEE International Symposium on Information Theory; Whistler Conference ✓	
		Centre, Whistler, British Columbia, Canada, 17-22 September 1995; pg. 486.	
		KAPLAN, MARC A.; IBM Cryptolopes™, SuperDistribution and Digital Rights Management;	

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Attorney Docket Number	2541P001CD

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		5,491,820	Belove et al.	2/13/1996	11/10/1994
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		5,511,000	Kaloi et al.	4/23/1996	11/18/1993
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		5,550,863	Yurt et al.	8/27/1996	10/8/1993
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		http://www.research.ibm.com/people/k/kaplan/cryptolope-docs/crypap.html; 3/27/00; 9 pgs.	
		NESSETT, D.M.; Layering Central Authentication On Existing Distributed System Terminal	
		Services; Security and Privacy, 1989 IEEE Symposium; IEEE 1989; pgs. 290-299.	

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Sheet 6 of 11

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Application Number	Unassigned
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First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD

U.S. PATENT DOCUMENTS

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		5,579,471	Barber et al.	11/26/1996	3/23/1994
		5,586,186	Yuval et al.	12/17/1996	7/15/1994
		5,590,195	Ryan	12/31/1996	1/12/1994
		5,592,511	Schoen et al.	1/7/1997	1/29/1996
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		5,629,980	Stefik et al.	5/13/1997	11/23/1994
		5,630,121	Braden-Harder et al.	5/13/1997	2/2/1993
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FOREIGN PATENT DOCUMENTS

Examiner Initials *		Foreign Patent Document Office or Country	Number	Date	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No

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		RAMANATHAN, SRINIVAS, ET AL.; Architectures for Personalized Multimedia; IEEE	
		Multimedia 1994; pgs. 37-46.	
		Aural Hygiene; AutoWeek; November 14; pg. 10. **Date Unknown**	

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Sheet	7	of	11

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		5,715,403	Stefik	2/3/1998	11/23/1994
		5,724,582	Pelanek et al.	3/3/1998	5/27/1994
		5,727,156	Herr-Hoyman et al.	3/10/1998	4/10/1996
		5,727,159	Kikinis	3/10/1998	4/10/1996
		5,732,219	Blumer et al.	3/24/1998	3/17/1995
		5,752,244	Rose et al.	5/12/1998	7/15/1996
		5,841,979	Schulhof et al.	11/24/1998	5/7/1996

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		Be Listening Up; PC Today Features News Article on Information Highway Media Corporation;	
		PC Today; January 1995; 1 pg.	
		Information Highway Media Corp. Retains Von Gehr International As Investment Banker;	
		Information Highway Media Corporation; October 13, 1994; 1 page.	

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		5,881,225	Worth	3/9/1999	4/14/1997
		5,918,213	Bernard et al.	6/29/1999	12/22/1995
		5,940,504	Griswold	8/17/1999	6/29/1992
		6,026,368	Brown et al.	2/15/2000	7/17/1995
		Des. 276,626	Lockwood	12/4/1984	9/14/1981
		Des. 289,777	Thomas	5/12/1987	8/13/1984
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		Listen-Up Audio System & Audio-On-Demand to Open New Infobahn On-ramp; Information	
		Highway Media Corporaton; IHM-94001.F.9/19/94; 1 pg.	
		Read Me A Story; Popular Mechanics; February 1995; 1 pg.	

Examiner Signature	D. DINH	Date Considered	3/16/04

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		4,591,974	Dornbush et al.	5/27/1986	1/31/1984
		4,601,011	Grynberg	7/15/1986	3/18/1985
		4,779,080	Coughlin et al.	10/18/1988	3/6/1986
		4,855,725	Fernandez	8/8/1989	12/28/1988
		4,899,292	Montagna et al.	2/6/1990	3/2/1988
		4,916,441	Gombrich	4/10/1990	9/19/1988
		5,065,345	Knowles et al.	11/12/1991	8/21/1990
		5,121,492	Saville, III et al.	6/9/1992	3/2/1987

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DJ		The Captive Audience Network; Popular Science; February 1995; 1 pg.	
DJ		Listen Up audio system and Audio On Demand subscription service write-up; Electronic	
		Entertainment; February; 1 pg. **Date Unknown**	

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Examiner Initials *		U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
DR		5,091,939	Cole et al.	2/25/1992	6/22/1990
		5,115,508	Hatta	5/19/1992	3/29/1988
		5,133,076	Hawkins et al.	7/21/1992	6/12/1989
		5,203,001	Yanagiuchi et al.	4/13/1993	9/5/1989
		5,221,838	Gutman et al.	6/22/1993	10/20/1992
		5,226,080	Cole et al.	7/6/1993	9/24/1991
		5,319,582	Ma	6/7/1994	10/1/1991
		5,333,116	Hawkins et al.	7/26/1994	3/3/1993
		5,388,196	Pajak et al.	2/7/1995	9/7/1990
		5,734,823	Saigh et al.	3/31/1998	7/25/1996

FOREIGN PATENT DOCUMENTS

Examiner Initials *		Foreign Patent Document Office or Country	Number	Date	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No

OTHER DOCUMENTS

Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
DR		Supplementary European Search Report for EP 98 95 3222, mailed December 14, 2000, 1 pg.	
DR		International Search Report for PCT/US97/16184; mailed January 30, 1998; 1 pg.	

Examiner Signature	D. DIXON	Date Considered	3/18/04

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	11	of	11

Complete if Known	
Application Number	Unassigned
Filing Date	May 29, 2001
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD

U.S. PATENT DOCUMENTS

Examiner Initials *		U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		5,734,891	Saigh	3/31/1998	6/12/1996
		5,673,316	Auerbach et al.	9/30/1997	3/29/1996
		5,966,440	Hair	10/12/1999	6/6/1995

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS

Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Examiner Signature	D. DINH	Date Considered	3/18/02

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Sheet	1	of	5

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

U.S. PATENT DOCUMENTS

Examiner Initials *		U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		5,636,276	Brugger	6/3/1997	4/18/1995
		5,734,719	Tsevdos et al.	3/31/1998	12/10/1996
		5,809,144	Sirbu et al.	9/15/1998	8/24/1995
		5,809,246	Goldman	9/15/1998	3/17/1997
		5,860,068	Cook	1/12/1999	12/4/1997
		5,931,901	Wolfe et al.	8/3/1999	3/21/1997
		5,963,916	Kaplan	10/5/1999	10/31/1996
		5,969,283	Looney et al.	10/19/1999	6/17/1998
		6,067,562	Goldman	5/23/2000	9/14/1998

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		COX, MEG; Electronic Campus, Technology Threatens To Shatter the World of College	
		Textbooks; The Wall Street Journal; Tuesday, June 1, 1993, 2 pgs.	
		DVORAK, RADKA, ET AL; A Methodology for User Centred Link Structures for Textbook to	
		Hypertext Conversion; IEEE 1992, pgs. 619-628.	

Examiner Signature	D. Dinh	Date Considered	3/07/02

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Sheet	2	of	5

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Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

U.S. PATENT DOCUMENTS

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		FISHER, DANIEL; Technology and You; This Little Computer Tries To Be A Book; St. Louis	
		Post-Dispatch; Wednesday, January 4, 1995; 2 pgs.	
		POBIAK, BERNARD CONAN; Adjustable Access Electronic Books; IEEE 1992; pgs. 90-94.	

Examiner Signature	D. Dinh	Date Considered	3/18/02

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	3	of	5

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

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		RAMOS, TONY; Can You Digit?; Making Book On Electronic Books; Collegestore Journal;	
		September/October 1992; 2 pgs.	
		STEINERT-THRELKELD, TOM; Now, Data By Satellite; Inter@ctive Week; 1 pg. **Date Unknown**	

Examiner Signature	D. DINH	Date Considered	3/18/04

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Sheet 4 of 5

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

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ok		WATANABE, TOYOHIDE; ET AL; Visual Interface for Retrieval of Electronic-formed Books; ✓	
		IEEE 1993; pgs. 692-695.	
ok		ZIEGLER, BART; IBM to Unveil Plan to Skip Disks, Send Software by Satellite; The Wall Street ✓	
		Journal; Tuesday, November 1, 1994, 1 pg.	

Examiner Signature	D. DINH	Date Considered	3/18/04

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Sheet 5 of 5

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor	Donald R. Katz et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2541P001CD2

U.S. PATENT DOCUMENTS

Examiner Initials *		U.S.Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials *		Foreign Patent Document Office or Country	Number	Date	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No

OTHER DOCUMENTS

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DS		The Heller Report; Vol. IV; No. 12, October 1993; 3 pgs.	

Examiner Signature	D. DIXON	Date Considered	3/18/04

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Sheet 1 of 4

Complete if Known	
Application Number	09/867,505
Filing Date	May 29, 2001
First Named Inventor:	Donald R. Katz
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket Number	002541.P001CD2

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,442,789		Baker et al.	8/15/95	
		6,005,938		Banker et al.	12/21/99	
		5,636,276		Brugger	6/3/97	
		5,226,080		Cole et al.	7/6/93	
		6,044,471		Colvin	3/28/00	
		5,860,068		Cook	1/12/99	
		6,088,450		Davis et al.	7/11/00	
		5,809,246		Goldman	9/15/98	
		6,067,562		Goldman	5/23/00	
		5,221,838		Gutman et al.	6/22/93	
		5,333,116		Hawkins et al.	7/26/94	
		5,963,916		Kaplan	10/5/99	
		5,926,624		Katz et al.	7/20/99	
		5,969,283		Looney et al.	10/19/99	
		5,319,582		Ma	6/7/94	
		5,208,665		McCalley et al.	5/4/93	
		6,141,693		Perlman et al.	10/31/00	
		5,769,269		Peters	6/23/98	
		5,809,144		Sirbu et al.	9/15/98	
		5,734,719		Tsevdos et al.	3/31/98	
		5,931,901		Wolfe et al.	8/3/99	
		5,203,001		Yanaguichi et al.	4/13/93	
		6,002,720		Yurt et al.	12/14/99	

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Examiner Signature	D. Dinh	Date Considered	3/6/04

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WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known	
Application Number	09/867,505
Filing Date	May 29, 2001
First Named Inventor:	Donald R. Katz
Group Art Unit	2152
Examiner Name	Dinh, D.
Attorney Docket Number	002541.P001CD2

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U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,157,929		Zamiska et al.	12/5/00	
		5,506,904		Sheldrick et al.	4/9/96	

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FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature	D. Dinh	Date Considered	3/4/02

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor:	Katz #9
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	02541.P001CD2

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,389,541	B1	Patterson	5/14/02	
		5,646,992		Subler, et al.	7/8/97	
		5,745,568		O'Connor, et al.	4/28/98	
		5,796,824		Hasebe, et al.		

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FOREIGN PATENT DOCUMENTS

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Examiner Signature	D. Dinh	Date Considered	3/18/04

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S	Design Patent	No change

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Sheet 1 of 3

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor:	Katz
Group Art Unit	2152
Examiner Name	Not yet assigned
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Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DD		6,385,596	B1	Wiser, et al.	5/7/02	
DD		5,991,402		Jia, et al.	11/23/99	

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FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature	D. DINH	Date Considered	3/18/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). [4]For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/867,505
				Filing Date	5/29/01
				First Named Inventor:	Katz
				Group Art Unit	2152
				Examiner Name	Not yet assigned
Sheet		of	3	Attorney Docket Number	02541.P001CD2

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OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]

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Examiner Signature		Date Considered	

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Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	1	of	1

Complete if Known	
Application Number	09/567,505
Filing Date	5/29/01
First Named Inventor:	Katz
Group Art Unit	2152 #15
Examiner Name	Not yet assigned
Attorney Docket Number	02541.P001CD2

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	U.S. Patent Document Number Code[2]	Kind (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,855,725		Fernandez	8/8/89	
		5,442,390		Hooper, et al.	8/15/95	
		5,761,485		Munyan, D.	6/20/98	

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FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature	D. DINH	Date Considered	3/7/04

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[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). [4]For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	09/867,505
Filing Date	5/29/01
First Named Inventor:	Katz
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	02541.P001CD2

Sheet 2 of 3

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OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]

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Electronic Information Disclosure Statement

#11

Apparatus and Method for Authoring and Maintaining a Library of Content and Targeting Content to a Playback Device

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Technology Center 2100

Application:	09/867505
Confirmation:	5909
Applicant(s):	Donald Katz
Docket Number:	2541P001CD2
Group Art Unit:	2153
Examiner:	Dung C. Dinh
search string:	(5,892,900 or 5,910,987 or 5,915,019 or 5,917,912 or 5,920,861 or 5,940,504 or 5,943,422 or 5,949,876 or 5,982,891 or 6,112,181 or 6,138,119 or 6,157,721 or 6,185,683 or 6,237,786 or 6,240,185 or 6,253,193 or 6,292,569 or 6,363,488 or 6,389,402 or 6,427,140 or 6,449,367).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5,892,900	1999-04-06		Ginter et al.		
	P02	5,910,987	1999-06-08		Ginter et al.		

	P03	5,915,019	1999-06-22		Ginter et al.
	P04	5,917,912	1999-06-29		Ginter et al.
	P05	5,920,861	1999-07-06		Hall et al.
	P06	5,940,504	1999-08-17		Griswold
	P07	5,943,422	1999-08-24		Van Wie et al.
	P08	5,949,876	1999-09-07		Ginter et al.
	P09	5,982,891	1999-11-09		Ginter et al.
	P10	6,112,181	2000-08-29		Shear et al.
	P11	6,138,119	2000-10-24		Hall et al.
	P12	6,157,721	2000-12-05		Shear et al.
	P13	6,185,683	2001-02-06		Ginter et al.
	P14	6,237,786	2001-05-29		Ginter et al.
	P15	6,240,185	2001-05-29		Van Wie et al.
	P16	6,253,193	2001-06-26		Ginter et al.
	P17	6,292,569	2001-09-18		Shear et al.
	P18	6,363,488	2002-03-26		Ginter et al.
	P19	6,389,402	2002-05-14		Ginter et al.
	P20	6,427,140	2002-07-30		Ginter et al.
	P21	6,449,367	2002-09-10		Van Wie et al.

Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicants' attorney of record.

Signature

Examiner Name	Date
D. D'Abramo	3/18/04

Electronic Information Disclosure Statement

Apparatus and Method for Authoring and Maintaining a Library of Content and Targeting Content to a Playback Device

#13

Application:	*09/867505* 09/867505
Confirmation:	5909
Applicant(s):	Donald Katz
Docket Number:	2541P001CD2
Group Art Unit:	2153
Examiner:	Dung C. Dinh
search string:	(5,892,900 or 5,910,987 or 5,915,019 or 5,917,912 or 5,920,861 or 5,940,504 or 5,943,422 or 5,949,876 or 5,982,891 or 6,112,181 or 6,138,119 or 6,157,721 or 6,185,683 or 6,237,786 or 6,240,185 or 6,253,193 or 6,292,569 or 6,363,488 or 6,389,402 or 6,427,140 or 6,449,367).pn.

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	P01	5,892,900	1999-04-06	*5,892,900*	Ginter et al.		
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	P03	5,915,019	1999-06-22	*5,915,019*	Ginter et al.		
	P04	5,917,912	1999-06-29	*5,917,912*	Ginter et al.		
	P05	5,920,861	1999-07-06	*5,920,861*	Hall et al.		
	P06	5,940,504	1999-08-17	*5,940,504*	Griswold		
	P07	5,943,422	1999-08-24	*5,943,422*	Van Wie et al.		
	P08	5,949,876	1999-09-07	*5,949,876*	Ginter et al.		
	P09	5,982,891	1999-11-09	*5,982,891*	Ginter et al.		

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	P10	6,112,181	2000-08-29	*6,112,181*	Shear et al.
	P11	6,138,119	2000-10-24	*6,138,119*	Hall et al.
	P12	6,157,721	2000-12-05	*6,157,721*	Shear et al.
	P13	6,185,683	2001-02-06	*6,185,683*	Ginter et al.
	P14	6,237,786	2001-05-29	*6,237,786*	Ginter et al.
	P15	6,240,185	2001-05-29	*6,240,185*	Van Wie et al.
	P16	6,253,193	2001-06-26	*6,253,193*	Ginter et al.
	P17	6,292,569	2001-09-18	*6,292,569*	Shear et al.
	P18	6,363,488	2002-03-26	*6,363,488*	Ginter et al.
	P19	6,389,402	2002-05-14	*6,389,402*	Ginter et al.
	P20	6,427,140	2002-07-30	*6,427,140*	Ginter et al.
	P21	6,449,367	2002-09-10	*6,449,367*	Van Wie et al.

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Signature

Examiner Name	Date
D. DIXON	3/12/04